SODIUM BOROHYDRIDE AND AMINE-BORANES, COMMERCIALLY IMPORTANT REDUCING AGENTS

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<u>Abstract</u> - Sodiumborohydride, NaBH₄(I) and amine-boranes, R_2NH-BH_3 (II) are widely used reducing agents in organic and inorganic chemistry. A survey of the application of I and II in the selective reduction of various organic groups is given. The field of metallisation by chemical means, using I and II, especially the chemical nickel-plating of metallic and nonmetallic substrates attracts more and more scientific as well as industrial interest. These and other applications of I and II together with synthetic routes leading to these reducing agents are discussed.

INTRODUCTION

Although sodium borohydride and its derivatives range far behind the other commercially used boron compounds such as borates or boric esters - it is estimated that in terms of quantity less than 1% of all boron compounds sold accounts for this group of products 1) - in recent years they have gained a remarkable position of increasing importance on the market for high quality special chemicals.

Today sodium hydride is produced in the Western World in the order of 1000 tons/year. At prices of approx. DM 70.00 per kg (for solid 98 % NaBH₄) this is a multimillion dollar business. A paper on sodium borohydride and amineboranes with emphasis on the technical side of these materials would thus appear to be quite in line with the scientific character of this meeting.

In 1976 the demand for ${\tt NaBH_4}$ will undergo a substantial increase with the commissioning of a new commercial scale plant set up at a capital expenditure of 12 million dollars in Elma, Washington, USA, to supply the Canadian and US paper industry in the Northwest of the American Continent2). Plans have also been made to produce sodium borohydride in Japan3). The most important commercial derivatives of NaBH $_4$, the amine-boranes are produced on a considerable smaller scale, although tonnage quantities are already involved 4). That sodium borohydride has developed over the last few years into an interesting product in terms of quantity must be attributed to the opening-up of new applications beyond organic synthesis, e.g. in the bleaching of wood pulp, the purification and stabilization of organic materials and the chemical separation of metals. In addition, intensive research work revealed further applications in preparative chemistry. In these applications the reducing effect is accurately controlled by modifying the reaction conditions such as temperature, pH, solvents, presence of catalysts or activating groups in the molecule to be reduced and consequently the use of NaBH, has considerably widened beyond the classical application of converting aldehydes and ketones into the corresponding alcohols. Finally, sodium borohydride is a complex hydride, allowing satisfactory handling in air, and can often be used in water as solvent. There is even an alkaline solution of NaBH₄ commercially available. In spite of the relatively high price, at least for the solid, pure product, its high content of 10.6 % active hydrogen (1 reduction equivalent weighs 4.73 g) gives costs per unit of hydrogen which are attractive in many cases.

In a recent cost comparison $NaBH_4$ compares favourably with other hydrides used as reducing agents such as Iithium aluminium hydride or sodium-(2-methoxyethoxy) aluminium hydride⁵).

TABLE 1

	(\$	Price per pound	Molecula) weight	r Weight % of active hydrogen	Cost of active hydrogen (\$ per pound)	Amount of hydride purchased (pounds) ^a)
NaBH4 LiBH4 KBH4 LiAIH4 NaBH4 NaBH3CN (iso-C4H9)2All NaA1(OC2H4OCH NaA1(C2H5)2H2	н 3 ⁾ 2 ^Н 2	13 50 18 28 24 15 1,50 3,58 2,75	37,8 21,7 53,9 37,9 54,0 62,8 142,2 208,1 110,1	10,6% 18,3 7,4 10,6 7,4 4,8 0,7 1,0	123 273 243 265 324 313 213 360 151	1 000 1 000 100 1 000 1 000 1 000 1 400 14 600 400
LiAl(O-tert-C Al(C ₂ H ₅) ₂ H	4H9) 3H	25 1,40	257,2 86,0	0,4	6 410 117	1 000 1 000

a) Amount of hydride purchased for prices shown in table

Sodium borohydride was discovered by H.J.Schlesinger et al. of Chicago University as early as 1942 while looking for volatile uranium compounds, e.g. $\mathrm{U}\left(\mathrm{BH}_4\right)_4$. Because of war-time secrecy the synthesis could not be published prior to 1953⁶). The fascinating story of the discovery of this and affiliated compounds and the discovery of the first applications, e.g. solvolysis in the presence of cobalt salts for the production of H₂ or the reduction of acetone to isopropanol has recently been retold in a humorous way by H.C.Brown, the well-known pioneer in the borane field Brown,

However, Alfred Stock had already come across contaminated NaBH $_4$ since the so-called hypoborates obtained in the reaction of boranes (B2H6,B4H1O) with strong alkaline solutions contain alkali borohydrides (B2H6,B4H1O) with that potassium hypoborate, KOBH3, reacts with aqueous nickel-salt solutions at room temperature to form nickel boride, Ni2B, a reaction which Stock considered notable since borides are otherwise only accessible through high temperature reactions. In a manner of speaking this is the first indication of electrodeless nickel plating, today an important application of sodium borohydride (Also in the reaction of alkali amalgams with diborane. Stock obtained products with, the composition Na2B2H6 which, as recent investigations have shown, contain alkali borohydrides

The commercial importance of sodium borohydride and its derivatives reached its first peak in the fifties when under several U.S. military programmes there was intensive work on the development of boron-based high energy fuels for rockets and high altitude jets 12). The boron fuels (alkylpenta- and -decaboranes) which were already produced by several firms in the order of tons met with wide interest since their heat of combustion is up to 50 % higher than that of conventional hydrocarbon fuels. In those years substantial capacities were set up for production of boranes and their starting products, such as sodium borohydride, which, following the well-known negative outcome of the programme, could be little utilized since non-military applications were limited. The large plant of Ventron Co., the former Metal Hydrides Inc., for the production of NaBH4, in Danvers, Mass., dates from this time. The plant was set up in 1958, mainly financed with government funds. But as early as 1959 the U.S. Navy contract for supplies of NaBH₄ was cancelled and this gave rise to acute economical problems 13) These could only be overcome as new applications were found for NaBHA in the paper and textile industries. Sodium borohydride is marketed today in three commercial forms: as a pure 98 % powder, in the form of pellets, and as an aqueous solution containing 12 % NaBH4 and 40 % NaOH. Commercially available derivatives are quaternary ammonium borohydrides, sodium cyanoborohydride, lithium and potassium borohydride, the sulphur derivative ${\tt NaBH}_2{\tt S}_3$ and diborane (e.g. in gas mixtures with N_2 , Ar or H_2 , as solution in tetrahydrofuran and as an adduct with dimethyl sulphide) and amine-boranes (e.g. dimethyl and diethylamine-boranes, pyridine and morpholine boranes, t-butyl-amine-borane) 14 . In Germany Bayer AG worked on the synthesis of NaBH $_4$ starting in the mid-fifties and since 1957 a commercial plant has been in operation. Since the mid-sixties we are also producing amine-boranes with emphasis on the dialkylamine-boranes.

PRODUCTION OF SODIUM BOROHYDRIDE AND AMINE-BORANES

A large number of suggestions and approaches to the synthesis and isolation of metal borohydrides, especially NaBH₄, is found in the literature and reflected in nearly 100 patent publications. A synopsis is given by F.Schubert and K.Lang¹⁵. However, only two processes have gained commercial importance: the boric acid ester process developed in the USA, and the borosilicate process from our laboratories. The fluoroborate process investigated in the CSSR has only been developed to pilot plant scale.

The boric acid ester process

The process originates from studies by H.H.Schlesinger et al. who found that at a relatively low temperature, sodium hydride reacts with trimethyl borate as follows

NaH + B(OCH₃)₃
$$\longrightarrow$$
 Na[HB(OCH₃)₃]

to give sodium trimethoxy borohydride. This reacts with borontrifluoride etherate to give diborane, which with further borohydride forms ${\tt NaBH}_{A}$

$$Na[HB(OCH_3)_3] + \frac{1}{2}B_2H_6 \longrightarrow NaBH_4 + B(OCH_3)_3$$

Experiments aimed at the production of sodium borohydride without using diborane finally led to the synthesis from sodium hydride produced in situ finely dispersed in a high boiling mineral oil, and trimethyl borate at approx. $250 - 280^{\circ}C$:

4 NaH + B(OCH₃)₃ without pressure
$$\rightarrow$$
 NaBH₄ + 3 NaOCH₃

$$250 - 280^{\circ}C$$

Important features of this synthesis are the necessity of operating under accurately defined stirring conditions and the importance of the grain size of the hydride. The reaction temperature should be relatively high (250°C) for the reaction to proceed rapidly through the interim formation of NaHB(OCH $_3$) $_3$ (with a melting point of 230°C) thus avoiding the liquid phase; the reaction runs as follows:

xNaHB(OCH₃)₃ \longrightarrow NaB(OCH₃)_{4-x}H_x + (x-1)B(OCH₃)₃ + (x-1)NaOCH₃ Disproportionation is complete at 280°C¹⁶). Excess of boric acid ester should be avoided because sodium tetramethoxyborate is formed which contaminates the borohydride.

In commercial operation, NaBH $_4$ /NaOCH $_3$ separation is effected by dissolving the reaction mixture in water, separation of the methanol thus formed followed by liquid/liquid extraction of the NaOH-containing aqueous solution with isopropylamine 17). The NaBH $_4$ -containing, aqueous, amine solution is evaporated and the borohydride is isolated as the dihydrate. Careful heating in vacuum gives pure NaBH $_4$. Synthesis of potassium borohydride has also been effected on a commercial

Synthesis of potassium borohydride has also been effected on a commercial scale by reacting the alkaline aqueous methanol NaBH $_4$ solution with KOH which causes the difficultly-soluble KBH $_4$ to be precipitated 18). The required ester is produced from boric acid and methanol and the resultant azeotropic mixture of B(OCH $_3$) $_3$ and CH $_3$ OH $_3$ (73/27 % by wt.) is separated with a methanolic lithium chloride solution 19). While the boric acid ester process is a multi-stage process, it offers the advantage of operation at a relatively low temperature in the liquid phase. A disadvantage is the expense of boric acid as a starting material. A useful feature, on the other hand, is that a marketable sodium alkaline NaBH $_4$ solution - which is much cheaper than solid NaBH $_4$ - is directly obtained in the course of the process.

The borosilicate process

The process used by Bayer starts with cheaper boron sources such as borax or Ulexite (calcium sodium borate) and is completed in three stages ⁵⁾. However, synthesis takes place at higher temperatures, 400-500°C, under a H₂ pressure of some bars in a partially-solid reaction. At first, e.g. a borosilicate glass is fused from borax and quartz sand and this is allowed to react with metallic sodium and hydrogen in a reaction scheme as per

$$(\text{Na}_2\text{B}_4\text{O}_7 + 7 \text{SiO}_2) + 16 \text{Na} + 8 \text{H}_2 \longrightarrow 4 \text{NaBH}_4 + 7 \text{Na}_2\text{SiO}_3$$

The borohydride/silicate mixture obtained is extracted with liquid ammonia under pressure; pure crystalline ${\tt NaBH_4}$ is left after the ${\tt NH_3}$ has been evaporated.

Instead of SiO₂ as the sodium oxide binding agent it is possible to use aluminium in the reaction between sodium hydride and dehydrated borax; as per:

 2 Na $_{2}$ B $_{4}$ O $_{7}$ + 7 Al + 11 Na + 16 H $_{2}$ \longrightarrow 8 NaBH $_{4}$ + 7 NaAlO $_{2}$

less sodium is necessary 20).

The resultant mixture is hydrolyzed and the borohydride extracted with isopropylamine. A disadvantage is the necessity of conducting the reaction at higher temperatures, e.g. 500°C, than are used in the borosilicate process. This reaction, however, already gives appreciable quantities of sodium dodecahydrido-dodecaborate Na₂B₁₂H₁₂ which is known to be the most stable hydridoborate thermodynamically 21).

From this observation we succeeded in developing a selective synthesis of this interesting icosahedral higher borohydride the chemistry of which had been closely investigated by Muetterties et al. 22) at DuPont.

The Fluoroborate process

A few years ago the Czechoslovak Academy of Sciences studied the reaction of very finely dispersed sodium hydride with sodium tetrafluoroborate at 260 - 280°C in an oil suspension²³), as per:

4 NaH + NaBF₄
$$\longrightarrow$$
 NaBH₄ + 4 NaF

The borohydride is isolated by NH_3 extraction. Being a straight forward solid reaction the process is carried out continuously in a thin film reactor at 5 - 10 bar H_2 pressure and the mixture obtained on completion of the reaction is immediately removed to suppress the objectionable side reaction

3 NaBF₄ + NaBH₄
$$\longrightarrow$$
 4 NaF + 4 B + 2 H₂

The process has not been further developed, presumably for marketing reasons. A certain disadvantage is likely to have been that sodium fluoride, presumably contaminated, was inevitably obtained.

Production of dialkylamine-boranes

When reacting sodium borohydride in aqueous toluene solution with an amine hydrochloride in a continuous stirrer unit dialkylamine-boranes are obtained.

 $\begin{array}{c} \text{R}_2\text{NH}\cdot\text{HCl} + \text{NaBH}_4 \xrightarrow{20\text{-}40^\circ} [\text{R}_2\text{NH}_2][\text{BH}_4] + \text{NaCl} \longrightarrow \text{R}_2\text{NH}\cdot\text{BH}_3 + \text{H}_2 \ (\text{R=CH}_3,\text{C}_2\text{H}_5) } \\ \text{We have also investigated the reaction of the dimethylamine-BF}_3\text{-adduct with sodium borohydride in tetrahydrofuran or dioxan.} \\ \text{This leads to the formation of the BH}_3\text{-adduct of dimethylamine} \\ \text{Example 1} & \text{C}_3\text{-$

Let me briefly discuss the pyrolysis of the dimethylamine-borane. At an elevated temperature dimethylamine-borane eliminates hydrogen, presumably following a bimolecular reaction mechanism 27 .

 $R_2^{NH} \cdot BH_3 \xrightarrow{100^{\circ}C} 1/2[R_2^{N-BH}_2]_2$

 $R_2NH \cdot BH_3 + 4 H_2O \xrightarrow{80^{\circ}C} [R_2NH_2]^+ [B(OH)_4]^- + 3 H_2$

However, when giving this heat treatment in the presence of water, hydrolysis takes place. A miscibility gap exists in the system dimethylamine/water²⁸.

SODIUM BOROHYDRIDE AND AMINE BORANES AS SELECTIVE REDUCING AGENTS IN ORGANIC SYNTHESIS

Sodium borohydride is widely used in organic synthesis as a mild reducing agent of selective and also stereospecific action29),30),31). It is normally suitable for use without excluding atmospheric oxygen or moisture. An additional advantage, in contrast to lithium aluminium hydride, is that it has sufficient resistance to a number of solvents – in which it is dissolved or suspended – to allow corresponding reductions to be carried out in these solvents. Primarily water and alcohols, but also amines and ethers such as tetrahydrofuran, dioxan, diglyme or triglyme can be used as reaction media. The selective reduction effect is impressively shown by the classical example of the reduction of $\alpha\text{-bromo-}\beta\text{-}(5\text{-nitro-}2\text{-thienyl})\text{-acrolein}^{32})$:

$$O_2N$$
 $CH = C$ CHO $NaBH_4$ O_2N $CH=C-CH_2OH$ Br

The aldehyde group is hydrogenated without any attack on the nitro group, the bromine atom or the olefinic double bound or the thiophene ring. Another more recent example shows that NaBH $_4$ has a widely differing reactivity as a function of the reaction conditions 33 :

OH

OH

OH

$$C_{6}^{H_{2}}$$
 $C_{6}^{H_{5}}$
 $C_{6}^{H_{5}}$
 $C_{6}^{H_{5}}$

OH

 $C_{6}^{H_{5}}$
 $C_{6}^{H_{5}}$

The reaction mechanism in the reductions with complex hydrides has not yet been elucidated in detail. To start with, a bimolecular nucleophilic addition is considered as certain. The hydride anion adds to the positively charged carbon atom and the positive radical of the complex hydride adds to the electronegative oxygen atom. The tetraalkoxy complex is then decomposed with water to give the corresponding alcohol³⁴): This proceeds through four successive steps which according to H.C.Brown can be formulated as follows:

The observed kinetics show in the first step a slow reaction governing the rate, whereas the ensuing steps proceed considerably more quickly. From this mechanism it follows that groups inductively reinforcing the positive charge of the carbon atom favour the reaction whereas groups with the opposite effect inhibit it. For example, we found that perfluorinated carboxylic acids or esters are reduced with NaBH4 to the 1,1-dihydroperfluoroalkanols³⁵), whereas the non-fluorinated compounds were not reduced under identical conditions:

R_FCOOR(H)
$$\xrightarrow{\text{NaBH}_4}$$
 R_FCH₂OH $\xrightarrow{\text{R}_F \text{COOR}(H)}$ $\xrightarrow{\text{NaBH}_4}$ R_FCH₂OH $\xrightarrow{\text{R}_F \text{COOR}(H)}$ $\xrightarrow{\text{R}_F \text{COOR}(H)}$ $\xrightarrow{\text{R}_H \text{COOR}(H)}$ $\xrightarrow{\text{R}_H \text{CH}_2 \text{OH}}$

Besides aldehydes and ketones, sodium borohydride also reduces acid chlorides, peroxides, Schiff basis, quaternary halides and certain other functional groups. The reduction effect can be modified by the nature of the solvent, by changing the temperature and the NaBH4 level. It can be increased in particular by using metal halides such as LiCl, CaCl2, AlCl3 etc. A particulary strong, yet selective reduction system (and also hydroboration agent) is a solution of anhydrous aluminium chloride with NaBH4 in diglyme.

Similar to lithium aluminium hydride it will reduce carboxylic acids, esters, anhydrides and nitriles.

The nature of this hydroboration agent, a clear solution of 3 moles NaBH₄ and 1 mole AlCl₃ in diglyme, has recently been elucidated by H.Nöth³⁶). The active species is the B₂H₇ ion formed by a complex mechanism. The range of reactions is further widened by the possibility of producing diborane easily in situ from NaBH₄ and BF₃ in ethers. Being an electrophilic reagent and a strong Lewis acid, diborane has other reducing properties than the nucleophilic salt-like sodium borohydride. For example, nitriles are rapidly reduced by diborane through the electrophilic action on the nitrogen of the CN group, whereas NaBH₄ does not react.

$$R-C\equiv N + (BH_3) \longrightarrow R-C\equiv N \cdot BH_3$$

The reaction then proceeds forming the corresponding N,N",N" trialkyl borazines which are then hydrolyzed to give the amines. Esters, carboxylic acids and epoxides are also reduced.

In the pharmaceutical industry sodium borohydride is used, for example, in the production of the chloramphenical and thioamphenical antibiotics. This has been widely recorded in literature; two approaches to synthesis using $NaBH_4$ in the last step are shown:

The acid azide or ester group is selectively reduced to the primary alcohol without attacking the nitro or acid amide group. In another synthesis the NaBH $_4$ reduction is carried out in an earlier step $^{38)}$.

$$\begin{array}{c} \text{NH-CO-CHC1}_2 \\ \text{O}_2 \text{N-CO-CH-CH}_2 \text{OR} \end{array} \xrightarrow{\begin{array}{c} \text{NABH}_4 \\ \text{O}_2 \text{N-CH-CH-CH}_2 \text{OR} \end{array}} \begin{array}{c} \text{NH-CO-CHC1}_2 \\ \text{O}_2 \text{N-CH-CH-CH}_2 \text{OR} \end{array}$$

In the synthesis of the similarly interesting thioamphenical, the ester group is reduced 39 :

A particulary important factor in steroid chemistry is the stereospecific and selective reduction of steroid ketones offering the possibility of utilizing the differing reactivities of the ketone groups 40). While recent publications show that sodium borohydride can enter further reactions it would be beyond the scope of this paper to deal with them.

SODIUM BOROHYDRIDE IN SILICONE CHEMISTRY

In this field we have been closely engaged, investigating organofunctional silicon compounds containing amines and alcohols starting from nitriles and esters 41).

The advantage of NaBH₄-containing reduction systems over for example, lithium aluminium hydride, is that siloxane bonds are not cleaved. In the case of Si-Cl-free silicon compounds a mixture of NaBH₄ + BF₃ in tetrahydrofuran is used:

$$o[si(CH_3)_2(CH_2)_3COOC_2H_5] = \frac{1.NaBH_4-BF_3}{2.H_2O} + o[si(CH_3)_2(CH_2)_4OH]_2$$

In the second case either 2,2-dimethyl-1-oxa-2-sila-cyclohexane is formed as a cyclic anhydride or the diol 1,3-bis-(4-hydroxybutyl)-tetramethyldisil-oxane is formed, depending on the processing conditions. A remarkable feature is the possibility of omitting the BF3 addition in the

presence of Si-Cl bonds in the unreduced molecule. The NaH component in the sodium borohydride converts the Si-halogen bond into an Si-H-bond in this case and the released borane then attacks the nitrile or acyl group electrophilically, e.g.:

To avoid the formation of BH_3 , which is isolated as an amine-borane in the presence of triethylamine, it is also possible to solvate a part of the chlorosilane with methanol and to reduce then the molar mixture of the two silanes.

HYDROBORATION

Brief reference only is made in this paper to the well known hydroboration reaction, which is strictly the Anti-Markownikoff-addition of BH bonds to C-C-double or triple bonds, since comprehensive monographs and excellent synoptic publications are available 42, 43, 44, 45). This reaction was discovered in 1956 by H.C.Brown et al. 7 , 46) when reducing ethyl oleate with NaBH $_4/\text{AlCl}_3$ in diglyme during which surprisingly the hydroboration of the C-C-double bond occured in addition to the reduction of the ester group. Since this time the hydroboration has been developed to become a highly valuable preparative method since the resultant organoboranes are accessible to a wide variety of reactions. The most important consecutive reaction is their oxidation to alcohols which correspond to a cis-hydration of the C-C-double bond. Besides diborane produced from NaBH $_4$ in situ, borane/tetrahydrofuran and borane/methyl sulphide complexes are also commercially available. Partially alkylated, sterically-hindered boranes are also used which are hydroboration reagents of improved selectivity and stereospecifity, e.g. for the synthesis of prostaglandines 47). In particular 9-BBN(9-borabicyclo(3,3,1)-nonane), which is stable in air, allows a simple procedure 48). In addition to the use of alkyl boranes for synthesizing natural materials, pharmaceuticals and fine chemicals, they also appear to be suitable for use in industrial petrochemistry 49).

The section on sodium borohydride is completed with the reference to its commercial use in the purification and stabilization of organic products such as alcohols, epoxides, amines, ethers and hydrocarbons. This removes objectionable impurities such as aldehydes, ketones and peroxides, even traces of which cause discoloration.

AMINE-BORANES IN ORGANIC CHEMISTRY

Similarly to sodium borohydride, amine-boranes are also suitable for use as selective reducing agents in organic chemistry. They offer some advantages over sodium borohydride such as good solubility in organic solvents and they are less sensitive to acids. This makes it possible, for example, to carry out reductions in acetic acid solution⁵⁰⁾. On the other hand, they are normally weaker reducing agents.

In terms of reducing action they range between the electrophilic diborane and the nucleophilic complex borohydrides. Amine-boranes, for example, convert aldehydes, ketones and acid chlorides into the corresponding alcohols; they also reduce quinones, azomethines and, in special cases, carboxylic acids⁵¹). The rate of reaction increases with the acidity of the solution when reducing aldehydes⁵²). In solvents such as liquid SO₂ and nitromethane, trimethyl amine-borane reduces aralkyl halides to the corresponding hydrocarbons⁵³). At elevated temperatures amine-boranes are also suitable for use in hydroboration reactions.

A technically interesting aspect is the use of tert.-butylamine-borane as a nucleation agent in the developing of colour $films^4$).

\mathtt{NaBH}_{Δ} AND AMINE-BORANES IN INORGANIC CHEMISTRY

These materials are also widely used. One of their applications is the reaction of sodium borohydride with sulphur dioxide as per

 ${\tt NaBH_4}$ + 9 NaOH + 9 SO₂ $\xrightarrow{90~\$}$ 4 ${\tt Na_2S_2O_4}$ + NaHSO₃ + NaBO₂ + 6 H₂O to give sodium dithionite which is used in the reductive bleaching of wood pulp⁵⁴).

NaBH $_4$ is also used in the separation of metals from very dilute solutions such as mercury, cadmium, copper, gold, silver and platinum metals 55). The mercury removal from chlorine/alkali electrolysis effluent is expected to be effective down to the ppb range. It is also possible to remove mercury from mercury contaminated fish protein concentrates 56).

A discussion of the uses of $NaBH_4$ and amine-boranes in inorganic chemistry must necessarily include chemical nickel plating, also called electrodeless nickel plating. This process comprises the deposition of the nickel plate by means of reducing agents rather than by electric current.

Sodium borohydride-containing nickel plating baths have been developed in the second half of the fifties and adopted in commercial practice in the mid-sixties⁵⁸). These chemical nickel platings offer a number of advantage over electrolytic nickel plating.

These include the deposition of the nickel plate in uniform thickness on intricate articles which it would be difficult, if not altogether impossible to plate electrolytically. Following suitable surface treatment it is also possible to plate chemically semi-conducting or non-conducting substrates such as ABS plastics. Further metal plates can be electrolytically applied without any problem to the chemically applied conductive nickel coat. Another advantage of chemical nickel plating is that the resultant plate consists of boron- or phosphorus-containing nickel alloys which feature particularly favourable chemical, physical and mechanical-technical data. Particularly outstanding are the hardness and wear properties, the soldering performance and the corrosion resistance of these plates.

Nickel ions are reduced in the chemical nickel plating by sodium borohydride or amine-boranes in line with the following theoretical equations

$$4 \text{ Ni}^{2+} + \text{BH}_{4}^{-} + 8 \text{ OH}^{-} \longrightarrow 4 \text{ Ni} + \text{B(OH)}_{4}^{-} + 4 \text{ H}_{2}^{O}$$

$$3 \text{ Ni}^{2+} + \text{R}_{2}^{O} \text{NH} \cdot \text{BH}_{3}^{-} + 5 \text{ OH}^{-} \longrightarrow 3 \text{ Ni} + \text{H}_{3}^{O} \text{BO}_{3}^{-} + \text{R}_{2}^{O} \text{NH}_{2}^{+} + 2 \text{ H}_{2}^{O}$$

The redox potentials of the corresponding redox pairs taking part in the metallization reaction are to ensure that these redox reactions proceed spontaneously and voluntarily with metal deposition. It would therefore appear to be difficult to prepare a nickel salt and reducing agent containing bath of adequate stability. Fortunately enough, however, reaction inhibitions are rather frequent, or inversely the baths for reductive metallization are thermodynamically unstable while having sufficient stability kinetically. This reaction inhibition can be overcome by supplying heat - most metal plating baths are run at elevated temperature - or by catalysts. Such catalysts are many of the metals known as hydrogenation catalysts such as palladium, silver, nickel, iron or platinum. The nickel deposition takes place with preference where such catalyst nuclei are present.

Once nickel has been deposited there the reaction continues since the nickel surface as such has a catalytic effect. From this follows that chemical nickel plating is a heterogeneous, quasi-autocatalytic redox reaction which, however, is always accompanied by a decomposition of the reducing agent with elimination of hydrogen so that the industrially-interesting reduction yield is in most cases below 50 %.

To destroy extraneous catalyst nuclei carried along into the solution, stabilizers, or more aptly inhibitors, are added to the metallizing bath. These are metal salts of e.g. cadmium or zinc or they are organic sulphur compounds of the +2 oxidation state. To avoid the formation of insoluble nickel hydroxide, sequestering agents are also added to reduce the nickel ion concentration. Such sequestering agents are dicarboxylic acids or amines such as ethylene diamine.

A typical bath formulation is as follows:

30 g/l NiCl₂ x 6 H_2O

50 - 60 g/l ethylene diamine

40 - 50 g/l NaOH

20 - 30 mg/l stabilizer

0,2 -0,3 g/1 NaBH₄

The deposition rate of the nickel ions is $15 - 20 \, \mu m/hour$ and depends on the concentration of metal ions, the reducing agent, the temperature and the pH.

The mechanism of these reactions has not yet been fully established. From mass spectroscopic measurements of the isotope composition of gaseous hydrogen which is formed during nickel plating with sodium borohydride in heavy water GORBUNOWA⁵⁹) draws conclusions to the following part reactions:

$$BH_{4}^{-} + 4 H_{2}O \longrightarrow B(OH)_{4}^{-} + 4 H + 4 e^{-} + 4 H^{+}$$
 $2 Ni^{2+} + 4 e^{-} \longrightarrow 2 Ni$

$$BH_{4}^{-} + 2 Ni^{2+} + 4 H_{2}O \longrightarrow 2 Ni + B(OH)_{4}^{-} + 2 H_{2} + 4 H^{+}$$

$$BH_4^- + H^+ \longrightarrow [BH_3 + H_2] \longrightarrow B + 2.5 H_2$$

$$BH_{4}^{-} + 4 H_{2}O \longrightarrow B(OH)_{4}^{-} + 4 H + 4 H^{+} + 4 e^{-} \longrightarrow B(OH)_{4}^{-} + 4 H_{2}$$

It follows that the H-atoms formed during hydrolysis do not take part in the Ni $^{2+}$ reduction but combine to form H $_2$ molecules. In chemical nickel plating with amine-boranes it is assumed that the first step is the adsorption and subsequent dissociation of the amine-borane molecule to a catalyst particle on the surface of the matrix resulting, among other effects, in the formations of boron⁶⁰⁾. The Pd .. BH₃ complex formed as a catalyst in the case of palladium can either be decomposed to form boron and hydrogen or hydrolyzed to give boric acid and hydrogen and in this respect the relation of the two reaction rates, and consequently the boron content in the deposit, are influenced by various parameters such as temperature and pH. The resultant hydrogen is chemically adsorbed to the catalyst surface either in molecular form or as hydride ion and this is the actual intermediate in the nickel reduction. This points to a certain analogy between chemical nickel plating and catalytic hydrogenation reactions.

Nickel plate deposited with sodium borohydride contains approx. 5 % boron. In the state in which it is deposited the plate is X-ray amorphous and when heat-treated in air at temperatures above 250°C an exothermal, irreversible structural change with separation of nickel boride (Ni $_3$ B) in a softer nickel matrix takes place. The resultant Vickers hardness of approx. 1000 - 1200 kp/mm² is the salient technological property of the nickel boron coats on metal as it means good wear performance and abrasion resistance. When using sodium hypophosphite as the reducing agent phosphorus-containing nickel coatings of similar performance deposit. Compared with such phosphorus-containing metallizing baths NaBH4 offers the advantage of a much longer life time of the bath which can practically be run without any limit and can be regenerated almost without any limitation. Amine-borane baths, similar to hypophosphite baths, can be operated over a wide pH and temperature range, but preferably at room temperature and in the weakly alkaline range; they also have a very long life time. The high reduction capacity of sodium borohydride and amineboranes leads only to a small amount of oxidation products, and consequently minimizes the load on the baths.

Amine-borane baths, especially dimethylamine-borane and diethylamine-borane baths, are preferred for the chemical nickel plating of plastics or ceramics. Chemical nickel plating has become successfully established in a wide range of application because the chemically deposited nickel plate is in all cases more resistant than the electrolytically deposited plate of equal thickness. Steels of widely differing composition, aluminium and aluminium alloys, magnesium, magnesium alloys, titanium, molybdenum and tungsten, and also plastics, especially ABS, are chemically nickel plated after the substrates have been thoroughly cleaned and activated. To allow chemical nickel plating of plastics surfaces Pd nuclei are first deposited on these to act as catalysts during the nickel plating.

SUMMARY

Since the revolutionary work by A.Stock and associates more than 5 decades ago and by H.I.Schlesinger and H.C.Brown in the forties sodium borohydride and its derivatives have not only met with wide theoretical and scientific interest and widened our knowledge in many fields of inorganic and organic chemistry but have also secured for themselves a firm position in commercial chemistry. Industrial development is far from complete and it is to be assumed that in future these products will play an even more important role than today.

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