

ca +5 nm shift in the long-wavelength absorption maximum for each alkyl substituent (ref. 13). Azulene, however, does not conform. A single alkyl substituent can shift the visible absorption maximum of azulene by as much as +29 nm toward longer wavelength or by as much as -14 nm toward shorter wavelength, depending on the site of attachment. This unusual behavior has required the development of a totally different set of empirical rules, now known as Plattner's rules, to correlate the spectral properties of azulene derivatives (ref. 14). Stronger donor substituents, such as OMe, cause proportionally larger shifts of the same sign (ref. 15).

Figure 2 summarizes Plattner's rules for azulene and gives the visible absorption maximum for homoazulene and four of its OMe-substituted derivatives (ref. 15-17). One can see immediately that homoazulene exhibits spectroscopic behavior toward substitution by a donor substituent which is decidedly atypical of an alternant hydrocarbon and in this regard appears far more similar to azulene than to an alternant hydrocarbon (ref. 17). For example, depending on the point of attachment of a single OMe group to homoazulene, the absorption maximum can be shifted either toward longer wavelength (12 and 13) or toward shorter wavelength (14) and by differing amounts. When two strong donor groups are judiciously counterpoised (15), the absorption maximum moves hardly at all! These data vividly underscore the importance of cyclic homoconjugation in neutral organic molecules and provide compelling evidence for the fact that even homoconjugation between atoms of like parity can impart nonalternant character to a neutral hydrocarbon π system.

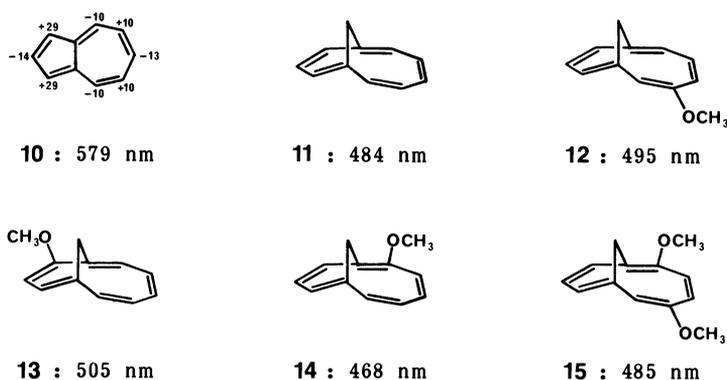


Fig. 2. Visible absorption spectral maxima and Plattner's rules for the effects of alkyl substituents on the azulene absorption maximum.

IMPOSITION OF NONALTERNANT CHARACTER ON ALTERNANT HYDROCARBON QUINONES THROUGH CYCLIC HOMOCONJUGATION

The ability of the homoazulene skeleton to confer nonalternant character on an otherwise alternant π system through homoconjugation opens the door to studies beyond those on the perturbed annulene and its substituted relatives. From an historical perspective, the quinones of homoazulene seem especially worthy of study, for no family of compounds has been more closely associated with aromatic hydrocarbons through the years than has the family of quinones (ref. 18). Vogel and his coworkers have recently prepared several quinones of the alternant bridged annulenes 7 and 8 (ref. 19).

Figure 3 shows three quinones of homoazulene together with the corresponding quinones of azulene. All of these compounds except the 4,7-quinone of azulene, 18, have been synthesized recently for the first time in Reno (ref. 20,21). Quinone 18 remains unknown, but its properties have been predicted on the basis of molecular orbital calculations (ref. 22). From Fig. 3, it will be noted that these quinones of azulene absorb light at shorter wavelengths than does azulene itself. Such behavior is precisely the reverse of that which is observed for alternant systems; the quinones of benzene and naphthalene, for example, are yellow, orange, and red, whereas the parent hydrocarbons are colorless (ref. 18). This peculiarity of the azulenic compounds is well accounted for by the theoretical calculations, which take into consideration the nonalternant nature of the π system (ref. 22). In the homoazulenic series, this same peculiarity is preserved (Fig. 3). Thus, quinones 19-21 behave more like the nonalternant quinones of azulene than like quinones of a purely alternant [10]annulene. Clearly, the nonalternant homoconjugative perturbation enforced by the homoazulene skeleton affects the electronic properties of these new quinones just as it affects the properties of the parent hydrocarbon. The nonalternant character of quinones 19-21 beautifully illustrates the fact that cyclic homoconjugation can have important consequences even in non-aromatic organic molecules.

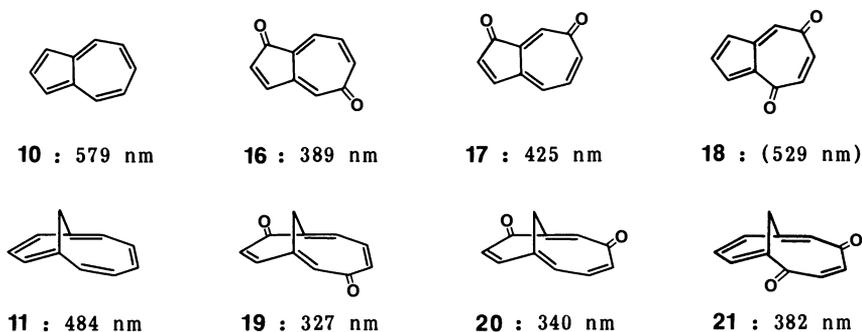
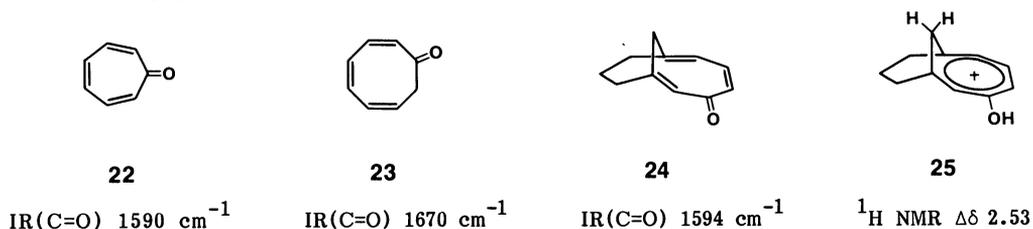


Fig. 3. Long wavelength maxima in the UV-VIS absorption spectra of azulene, homoazulene, and some of their quinones. All the data were obtained experimentally except that for 18, which was calculated.

HOMOCONJUGATIVE INTERRUPTION OF A NONALTERNANT π SYSTEM

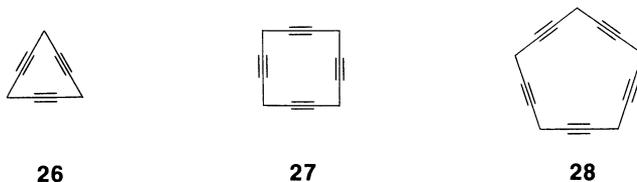
Homoazulene and its quinones contain a fully-conjugated perimeter π system that takes on nonalternant character in response to an extra transannular homoconjugative perturbation (Figure 1.B). In principle, nonalternant homoconjugated π systems of a completely different type should be accessible by introducing one or more homoconjugative interruptions into a neutral monocyclic π system that is already nonalternant (Figure 1.A). Tropone, 22, represents a particularly attractive starting point for these studies since cyclic conjugation therein gives rise to such pronounced effects (ref. 23). The carbonyl stretching band in the IR spectrum of 22, for example, appears at remarkably low frequency (1590 cm^{-1}), and the oxygen atom is far more easily protonated than that of ordinary unsaturated ketones (ref. 23,24). In cyclo-octatrienone, 23, a monohomotrope, these special properties are drastically attenuated (ref. 24,25), presumably because the orbitals at the homoconjugation gap do not overlap well. By contrast, the bridged homotrope 24, synthesized recently in Reno, retains much of the special character of tropone (ref. 26). The carbonyl stretching band in the IR spectrum of 24, for example, appears at 1594 cm^{-1} , and the oxygen atom can be protonated by trifluoroacetic acid, even in dilute chloroform solution, to generate the stable bridged homotropylium ion 25. As anticipated, the trimethylene bridge in 24 enforces overlap of the orbitals flanking the gap in the π system, thereby allowing electron delocalization around the entire cycle. This example draws attention to the importance of cyclic homoconjugation even in certain neutral nonalternant systems.



MULTIPLE HOMOCONJUGATIVE INTERRUPTION OF NEUTRAL MONOCYCLIC π SYSTEMS

The last family of compounds to be examined reveals the importance of cyclic electron delocalization even in neutral monocyclic π systems with multiple homoconjugative interruptions. As a calibration point, it should be remembered that three or more homoconjugative interruptions in the tropylium ion π system effectively quench its homoaromatic properties (ref. 3).

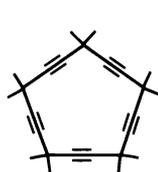
Macrocyclic hydrocarbons comprised entirely of $-\text{C}\equiv\text{C}-$ units and CH_2 groups joined together in alternation around the perimeter, e.g., 26, 27, and 28, constitute an intriguing class of molecules for which the name "pericyclynes" has been suggested, to connote the presence of



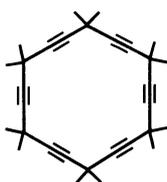
alkyne functionality on every side of the ring (ref. 27); a numeral prefix, [N], indicates both the number of corners (CH_2 groups) and the number of sides ($-\text{C}\equiv\text{C}-$ units) that constitute a particular pericyclyne. Such compounds display a variety of interesting properties.

Consider first the p orbitals in [3]pericyclyne. These can be divided into two sets, one in-plane and one out-of-plane. All six out-of-plane p orbitals line up perfectly parallel to one another and comprise a π system much like that in benzene, only with three homoconjugative interruptions. Orthogonal to this, the six in-plane p orbitals form a cyclic array in the center of the ring (cf. 2-5). Each set contains six electrons, thus making [3]pericyclyne a double-barreled tris-homobenzene (ref. 28). In [4]pericyclyne, each set contains 8 electrons, whereas in [5]pericyclyne, two $4N+2$ systems are again found.

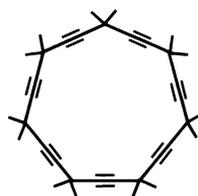
Neither the [3]pericyclyne nor the [4]pericyclyne ring system is currently known; however, Sakurai and his group in Japan have reported an analog of **26** with silicon atoms at the corners (ref. 29). In Reno, the fully-methylated pericyclynes of order [5], [6], [7], and [8] (**29-32**) have been synthesized (ref. 27,30). These novel hydrocarbons are all high-melting, air-stable, colorless crystalline solids. The photoelectron spectra (PES) and electron transmission spectra (ETS) of **29** and **30** confirm the high degree of orbital mixing (cyclic homoconjugation) in these pericyclynes (ref. 31); PES and ETS data are not yet available for the higher family members **31** and **32**.



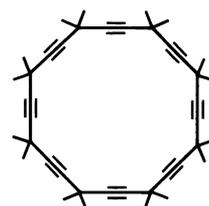
mp 201-202 °C

29

mp 248-249 °C

30

mp 170-171 °C

31

mp 184-185 °C

32

The PES of **29** shows one sharp π ionization potential (π IP) at 9.07 eV, another sharp π IP at 10.20 eV, and at least three more incompletely resolved strong π IPs between these two in energy. In the absence of any electronic interaction among the acetylenic units in **29**, the molecule would have five degenerate in-plane π bonds and five degenerate out-of-plane π bonds. Such an arrangement would give rise to just one π IP for the in-plane π electrons and to one π IP for the out-of-plane electrons, i.e., to only two π IPs, which might or might not be distinguishable by PES. The large number of π IPs observed, however, can be taken as evidence that cyclic homoconjugation splits these degeneracies. *Ab initio* molecular orbital calculations predict six distinct π IPs for **29**, but those of intermediate energy may partially overlap (ref. 31,32). The range of π IPs (1.13 eV) indicates that the orbital interactions in **29** must be quite substantial. Pericyclyne **30** gives a similar PES with the π IPs grouped somewhat differently.

The electron affinities (EAs) of **29** and **30**, as measured by ETS in the gas phase, reveal an even stronger mixing among the unfilled orbitals, in agreement with theory (ref. 31). The LUMO of **29** (-1.87 eV) lies more than 1.0 eV below that of an isolated dialkyl acetylene, and the splitting between the first and second unoccupied MOs of **29** measures 1.58 eV! The LUMO of **30** (-2.15 eV) likewise lies unusually low in energy and is separated from the second unoccupied MO by a remarkably large gap (1.60 eV).

These studies on the pericyclynes demonstrate that cyclic homoconjugation can have important electronic consequences even in neutral monocyclic π systems with five or six homoconjugative interruptions.

CONCLUSION

Nowhere in the foregoing discussion has it been claimed (or denied) that cyclic homoconjugation in neutral molecules can impart any special thermodynamic stability or aromatic character. That question, though an interesting one, cannot be answered by the data cited here. The primary purpose of this paper has been rather to impress upon the reader the fact that cyclic homoconjugation in neutral organic molecules is quite real and can often confer unusual properties on the molecules in which it occurs. The widespread recognition of this fact is long overdue.

ACKNOWLEDGEMENTS

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